PART B - FEE(S) TRANSMITTAL together with applicable fee(s); thi Mail Box ISSUE FEE Complete and send this for Commissioner for Patents Washington, D.C. 20231 (703)746-4000 used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where including the Patent, advance orders and notification of maintenance flees will be mailed to the current correspondence address as including the Patent, advance orders and notification of maintenance flees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for INSTRUCTIONS Chis form should appropriate. All finding corresponds indicated unless corresponds maintenance fee notification Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. DELENT CONTENT ON DESICE ADDRESS (1988) LIGHT LEGGY BEACH, WILL BY GREEN 08/23/2002 28393 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. I hereby certify that this Foo(s) Transmittal is being deposited with the United States Fostal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below. Certificate of Mailing or Transmiss 1100 NEW YORK AVENUE, NW **SUITE 600** WASHINGTON, DC 20005-3934 (Contra CONFIRMATION NO. ATTORNEY DOCKET NO. PERST NAMED INVENTOR FILING DATE APPLICATION NO. 153501-0381/P02431US Mark G Gilbreth 07/06/2001 09/900.635 TITLE OF INVENTION: AUTOMATIC TURBOGENERATOR RESTARTING METHOD AND SYSTEM TOTAL FEE(S) DUE DATE DUE **FUBLICATION FEE** ISSUE FEE SMALL ENTITY APPLN. TYPE 11/25/2002 nonprovisional VES CLASS-SUBCLASS ART UNIT EXAMINER 290-052000 2834 PONOMARENKO, NICHOLAS Sterne, Kessler, Goldstein & Fox, PLLC Change of correspondence address or indication of "Fee Address" (37 CFR 1.563). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 O "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLRASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. Inclusion of assignce data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Chatsworth, California Capstone Turbine Corporation ☐ individual ☐ corporation or other private group entity ☐ government Please check the appropriate assignce category or estegories (will not be printed on the patent) 4b. Payment of Fee(s): ia. The following foc(s) are enclosed: CKA check in the amount of the foc(s) is enclosed. Lame Foo ☐ Payment by credit card. Form PTO-2038 is attached. The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _______(enclose an extra copy of this form). Ca Publication Fee Advance Order - # of Copies ____10 Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. 888 (Dette) 10/11/02 888 (Authorized Signature) Vastlo Den Virgil L. Beaston, Reg. No. 47,415 **388** 9900635 NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attempty or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an explication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is explication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minuses to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or case. Any comments on the smouth of time you require to complete this form and/or parish and Tradenser's Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, Washington, D.C. 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (REV. 04-02) Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT

역용합

000000

POLITE















October 11, 2002

WRITER'S DIRECT NUMBER: (202) 312-7271 **INTERNET ADDRESS:** VBEASTON @SKCF.COM

Commissioner for Patents Washington, D.C. 20231

Attn. Box Issue Fee

Re:

U.S. Allowed Utility Patent Application

Appl. No. 09/900,635; Filed: July 6, 2001

For: Automatic Turbogenerator Restarting System (as amended)

Inventors:

Gilbreth et al.

Our Ref:

2066.0240001 (as amended)

(Capstone Ref. No. 0381)

Sir:

In response to the Notice of Allowance and Issue Fee Due dated August 23, 2002, the following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

- 1. Issue Fee Transmittal (Form PTOL-85B);
- 2. Fee Transmittal (Form PTO/SB/17);
- 3. Request for Change of Attorney Docket Number;
- 4. Amendment Under 37 C.F.R. § 1.312;
- 5. One (1) Return postcard; and
- 6. Our Check No. 37005 for \$970.00 to cover:

\$ 640.00 Issue Fee:

\$ 300.00 Publication Fee; and

\$ 30.00 Advance copies of patent.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Sterne, Kessler, Goldstein & Fox P.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 † 202.371.2540: www.skgf.com

Commissioner for Patents October 11, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Virgil L. Beaston

Attorney for Applicants

Registration No. 47,415

EJK/VLB/acr Enclosures

::ODMA\MHODMA\SKGF_DC1;63818;1 SKGF Rev 2/15/02 dew; 3/27/02 mac